

November 14, 2017  
Toshiba Corporation

## **Notice on an Action for Compensatory Damages Filed Against Toshiba**

TOKYO—Toshiba Corporation (TOKYO: 6502) (the “Company”) hereby gives notice that it was served yesterday with a further action for compensatory damages in relation to the accounting issue (“the Action”).

In addition, the Company also herewith gives notification, in 2. below, of the status of the other actions for compensatory damages filed against the Company in Japan in relation to the accounting issue.

### **1. Outline of the Action**

The Action against the Company was filed with the Tokyo District Court by two foreign institutional investors on October 13, 2017, seeking compensatory damages in the amount of 413,672,609 yen, on the grounds of damages incurred due to the Company’s inappropriate accounting. The Company was served with the complaint yesterday.

The Company will handle the matter appropriately after taking into account the plaintiffs’ assertions in the Action. As the parties to the Action gave notice of their intention to make a complaint in July 2017, the Company was able to make a certain provision for the impact in its FY2016 business results, as announced on August 10 this year, and will, if necessary, record a further provision for a reasonably estimable amount for the Action in its financial statement for the third quarter of FY2017.

### **2. Status of the other actions for compensatory damages in Japan**

The Company provides regular updates on the actions filed in Japan seeking compensatory damages in relation to the accounting issue. Prior to today, the most recent update was made on October 17, 2017, in "Notice on an Action for Compensatory Damages Filed Against Toshiba." The outline of each of these actions is as described in the table attached below. As of today, the Company has been served with 33 complaints in all, and the total amount sought in these actions is approximately 140.0 billion yen.

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Attached Table – Outline of the actions seeking compensatory damages other than the Action

No.	Court	Plaintiff(s)	Number of Plaintiff(s)	Amount
1	Osaka District Court	Individual	1	Approx. 51 million yen
2	Osaka District Court	Individuals	45	Approx. 173 million yen
3	Fukuoka District Court	Individuals	6	Approx. 34 million yen
4	Tokyo District Court	Individuals	50	Approx. 300 million yen
5	Osaka District Court	Individuals	104	Approx. 420 million yen
6	Takamatsu District Court	Individuals	25	Approx. 85 million yen
7	Fukuoka District Court	Individuals	10	Approx. 37 million yen
8	Tokyo District Court	Individual	1	Approx. 41 million yen
9	Tokyo District Court	Corporation (Japan Trustee Services Bank, Ltd.)	1	Approx. 1,262 million yen
10	Takamatsu District Court	Individuals	5	Approx. 9 million yen
11	Tokyo District Court	Individuals	147	Approx. 350 million yen
12	Tokyo District Court	Corporation (Japan Trustee Services Bank, Ltd.)	1	Approx. 12,000 million yen
13	Fukuoka District Court	Individuals	6	Approx. 20 million yen
14	Osaka District Court	Individuals	23	Approx. 440 million yen
15	Tokyo District Court	Individuals	33	Approx. 57 million yen
16	Tokyo District Court	Foreign institutional investors (Aliantz Global Investors GmbH and others)	42	Approx. 16,100 million yen
17	Osaka District Court	Individual	1	Approx. 32 million yen
18	Osaka District Court	Corporation (Social Welfare Corporation Aiseikai)	1	Approx. 7 million yen
19	Tokyo District Court	Corporations (Japan Trustee Services Bank, Ltd. and others)	3	Approx. 13,100 million yen
20	Tokyo District Court	Corporations (Japan Trustee Services Bank, Ltd. and others)	3	Approx. 5,100 million yen
21	Tokyo District Court	Corporations (Trust & Custody Services Bank, Ltd. and others)	5	Approx. 14,065 million yen
22	Osaka District Court	Individuals	27	Approx. 66 million yen
23	Tokyo District Court	Individual	1	Approx. 11 million yen
24	Tokyo District Court	Individuals	2	Approx. 82 million yen
25	Tokyo District Court	Corporations and Individual (Ace Jimuki Co, Ltd. and others)	3	Approx. 115 million yen
26	Tokyo District Court	Foreign institutional investors (BNY Mellon and others)	70	Approx. 43,890 million yen
27	Tokyo District Court	Individuals	35	Approx. 110 million yen
28	Tokyo District Court	Individual	1	Approx. 21 million yen
29	Tokyo District Court	Foreign institutional investors	6	Approx. 9,227 million yen
30	Kumamoto District Court *Transferred from Fukuoka District Court	Individual	1	Approx. 12 million yen
31	Tokyo District Court	Foreign institutional investors	14	Approx. 21,759 million yen
32	Tokyo District Court	Corporations (Japan Trustee Services Bank, Ltd. and others)	2	Approx. 572 million yen

For actions 1 to 32, the Company has made provision for a reasonably estimable amount in its financial statements for periods up to and including the second quarter of FY2017.